

Form PTO-1449 (modified)

List of Patents and Publications

For Applicant's Information

Disclosure Statement

(Use several sheets if necessary)

ATTY. DKT. NO. 5898-00100

APPLICANT: Henry et al.

FILING DATE: October 24, 2003

SERIAL NO. 10/693,654

CONF. NO.: 9661

ART UNIT: 3714

U.S. PATENT DOCUMENTS

INITIALS	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE APPROPRIATE
<i>KN</i>		4194733	3/25/1980	Whitehouse, Jr.			
		6045449	4/4/2000	Aragona et al.			
		4221170	9/9/1980	Koudelka			
		1783268	12/2/1930	Traver			
		6336771	01/08/2002	Hill			
		6,475,095	11/05/2002	Henry			
		3473334	08/21/1969	Dexter			
		RE43407	October, 1993	Frenzi			
		5219315	06/15/1993	Fuller et al.			
		5011161	04/30/1991	Galphin			
		5685778	11/11/1997	Sheldon, et al.			
		6186902	02/13/2001	Briggs			
		6,533,191	03/18/2003	Berger et al.			
		6561914	05/13/2003	Henry			
<i>KN</i>		6702687	03/09/2004	Henry			

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
<i>KN</i>	E1	92/04087	3/19/1992	WO			
	E2	97/33668	9/18/1997	WO			
	E3	92/03201	3/5/1992	WO			
	E4	02/22227	03/21/2002	WO			
	E5	01/10184	2/15/2001	WO			
<i>KN</i>	E6	02/22226	03/21/2002	WO			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER:

Kenneth G. ...

DATE CONSIDERED:

5/31/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Form PTO-1449 (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)	ATTY. DKT. NO. 5898-00100	SERIAL NO. 10/693,654
	APPLICANT: Henry et al.	CONF. NO.: 3907
	FILING DATE: October 24, 2003	ART UNIT: 3712

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE APPROPRIAT
<i>W</i>		583121	05/25/1897	Pattee			
		741964	10/20/1903	Harlan			
		774209	11/08/1904	Stubbs			
		1124950	01/12/1915	Reagen et al.			
		1893167	01/03/1933	Glagolin			
		2484466	03/22/1946	Rumler			
		2738885	03/20/1956	Demaline			
		3456943	07/22/1969	Brown			
		4960275	10/02/1990	Magon			
		5820471	10/13/1998	Briggs			
		485624	11/08/1892	Gardner			
		4501434	02/26/1985	Dupuis			
		4545574	10/08/1985	Sassak			
<i>W</i>		5791254	08/11/1998	Mares et al.			

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATIO Yes/No
<i>W</i>	D1	129145	03/14/1902	DE			YES
	D2	129145	03/14/1902	English translation of German Patent No. 129145 (3 pages)			
	D3	893778	10/19/1953	DE			YES
	D4	893778	10/19/1953	English translation of German Patent No. 893778 (6 pages)			
<i>W</i>	D5	543055	12/15/1955	BG			YES

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Information Disclosure Statement--PTO 1449 (modified)

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FOREIGN PATENT DOCUMENTS					
<i>LN</i>	D6	543055	12/15/1955	English translation of Belgian Patent No. 543055 (12pages)	
OTHER ART					
<i>LN</i>	D7	Rorres, C. "The Turn of the Screw: Optimal Design of an Archimedes Screw" <i>J. of Hydraulic Engineering</i> , Vol. 126, No. 1, pgs. 72-80.			
	D8	Written Opinion regarding European Application No. 01 970 881.7 – 2307 (Atty. Dkt. No. 5049-13005) issued April 13, 2004.			
	D9	International Search Report regarding PCT Application No. PCT/US01/28542 (Atty. Dkt. No. 50413002) issued March 27, 2002.			
	D10	Written Opinion regarding PCT Application No. PCT/US01/28542 (Atty. Dkt. No. 5049-13002) issued May 2, 2002.			
	D11	Written Opinion regarding PCT Application No. PCT/US01/28542 (Atty. Dkt. No. 5049-13002) issued August 5, 2002.			
	D12	International Preliminary Examination Report regarding PCT Application No. PCT/US01/28542 (Atty. Dkt. No. 5049-13002) issued December 2, 2002.			
<i>LN</i>	D13	Written Opinion regarding European Application No. 01 970 881.7 – 2307 (Atty. Dkt. No. 5049-13005) issued October 10, 2004.			

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